

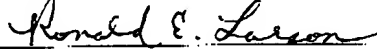
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10-24-03**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**
Case No. 12640US01**PATENT**

In the Application of:)
)
ROBERT CAREY et al.)
)
Serial No.: 09/480,724)
)
Filed: January 7, 2000)
)
For: METHOD OF SELECTING)
SECURITIES FOR A PORTFOLIO)
)
Examiner: Harish T. Dass)
)
Group Art Unit: 3628)
)
Confirmation No.: 4660)

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office
(FAX No. 703-746-7238) on April 14, 2003.

Ronald E. Larson


Signature**AMENDMENT B PURSUANT TO 37 C.F.R. § 1.312 AND
COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice of Allowance mailed March 31, 2003, kindly amend the
above-identified application as follows:

In The Claims:

Kindly amend claims 20 and 40 as follows: